



Sons of the American Revolution

Ohio Society

Organized April 22, 1889

Chief Compliance Officer – Annual Report 2020 Activity Report May 2, 2020

SCOPE OF DUTIES

The Chief Compliance Officer has oversight authority of the Ohio Society to ensure compliance with the Sarbanes-Oxley Act. He documents formal certification of the internal controls, procedures and processes of the Ohio Society to ensure it is in compliance with the Sarbanes- Oxley Act; works with the Ohio Society Audit Committee, provides assistance to the Chairman of the Audit Committee; works with the Ohio Society Ethics Committee, provides assistance to the Chairman of the Ethics Committee; coordinates with the Chancellor and the Treasurer as needed; coordinates compliance efforts with respect to legislative and regulatory developments affecting OHSSAR governance; keeps the Board of Management and the Executive Committee informed about governance law, trends, issues, and best practices; and reports to the Executive Committee all material developments and concerns regarding governance practices, issues and requirements, whether raised internally or externally.

ACTIVITIES ACCOMPLISHED

1. Over the course of the year I have completed or am working through the following actions:
 - a. With the assistance of Compatriot Steve Kaplan, ensured each Chapter complete their Chapter-level IRS compliance actions per Section 501(c)(3) (filing of annual 990-N postcards).
 - b. Conflict of Interest forms were sent to all appropriate Compatriots on July 18, 2019. 20 of the required 28 have been received as of February 8, 2020 (the remaining 8 have signed copies in previous years).
2. Assistance provided to the Audit Committee – None requested.
3. Assistance provided to the Ethics Committee – None requested.
4. Legislative and regulatory developments affecting OHSSAR governance – None noted since the last Board of management meeting.

Colonel (Ret) Donald C. McGraw, Jr.
Ohio Society SAR
Chief Compliance Officer

A fraternal and civic society composed of lineal descendants of the men who wintered at Valley Forge, signed the Declaration of Independence, fought in the battles of the American Revolution, served in the Continental Congress, or otherwise supported the cause of American Independence.

<http://www.ohssar.org>



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